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#### **ENHANCING SAFETY AND RELIABILITY IN RAILWAY SIGNALLING SYSTEMS: A RENSIVE FMEA-BASED AI THE STUDY OF KINEMATICS AND STUDIES AND STUDIES AND STUDY OF KINEMATICS AND TRAJECTORY AND INCLUDY AND THE STUDY OF KINEMATICS AND STUDY OF KINEMATICS PAILWAT OF ALLING OT STEINS. A**<br>POMPREUEMON/E EMEA RASER ARRAAGU **RAILWAY SIGNALLING SYSTEMS: A COMPREHENSIVE FMEA-BASED APPROACH**

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classified as safety-critical systems, must adhere to specific safety principles outlined in CENELEC standards to ensure the desired levels of safety and reliability. The Interlocking system serves as the central intelligence behind railway signalling, authorizing trains to navigate safe routes under predefined conditions, eliminating collision risks. Object controllers (OCs), a crucial component of the interlocking subsystem, are responsible for overseeing and managing field elements such as signals, points, track circuits, and other vital controllable objects. Object controller boards encompass both hardware and software components, necessitating ENTRIANCING SAFETY AND RELIABILITY IN<br>
RAILWAY SIGNALLING SYSTEMS: A<br>
OMPREHENSIVE FMEA-BASED APPROACH<br>
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"c **Abstract**— Railway signalling systems,

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compliance with relevant railway safety standards such as EN50129 and EN50126. This paper focuses on a developed test platform designed to streamline the design and development life cycle of Object controllers. Within this tool, the importation of system architecture facilitates risk identification and assessment using the Failure Modes and Effects Analysis (FMEA) method. Ultimately, the paper calculates the reliability of both subsystems and the entire system.

### **I. Introduction**

Railway transport networks, while experiencing a lower frequency of collisions compared to roadways, can still result in catastrophic consequences in terms of human injuries, loss of life, and damage to rolling stock and infrastructure. This underscores the paramount importance of safety in railway operations. The railway signalling system is pivotal in ensuring the safe, reliable, and efficient movement of trains Its development must adhere to both domestic and international rail transit signal system standards, drawing from the extensive application experience in this field.

Moreover, strict adherence to the entire life cycle of safety product development is imperative, with all signalling subsystems undergoing rigorous third-party safety certifications. The signalling system has the following technical features:

1. The signalling system prioritizes safety, strictly adhering to international standards (EN50126/8/9) and the "fail-safety" principle. It meets SIL4 (Safety Integrity Level 4) safety requirements and holds SIL4 safety certification from an independent third-party authority for all safety-related signal subsystems.

- 2. The system achieves high reliability and continuous operation using dependable equipment and built-in redundancy technology.
- 3. The system boasts high maintainability with reduced costs. Key equipment features redundancy, selfdiagnosis, and alarm functions. It also utilizes a unified hardware platform, effectively minimizing maintenance time and expenses.
- 4. The wayside subsystem controller employs a safety redundancy structure (2x2 out of 2) and holds an SIL4 safety certificate from an independent third-party authority. It integrates interlocking and train control functions, reducing software and hardware complexity and enhancing system reliability.
- 5. The loss of line synchronism indicates a disruption in signal synchronization along the line.

In contrast, the on-board control subsystem prioritizes vehicle compatibility by ensuring that components like the On-Board Computer Unit (OBCU), speedometer, accelerometer, transponder reader, and antenna are compact and easily installable within the vehicle's limited space. Additionally, the vehicle control algorithm and interface of onboard equipment can be adjusted to match specific vehicle characteristics.

To enhance railway network safety and mitigate hazards, various critical on-board and trackside systems have been implemented. A track circuit -a vital safety critical componentserves as an electrical trackside device designed to detect the absence of a train within a specific track section. This equipment transmits essential data used to establish conflictfree routes in overlap and flank protection areas within the signalling system. It operates by passing an electric current from a power supply at one end of the section, through the rails, to a relay positioned at the other end. When a train enters the section, the relay deactivates due to the lower resistance of the train

axles compared to the relay itself. This action signals to the signalling system that a train is present in the track section, ensuring safe and efficient

railway operations. In Figure 1, the track circuit and its associated subsystems are depicted.



Figure 1: A track circuit with its subsystems [1]

Each track circuit is supervised and regulated by a crucial component known as the OC. In the domain of railway signaling systems, OCs play a pivotal role in overseeing and managing critical trackside equipment. Given their significance in ensuring the safety and reliability of railway operations, there exists a pressing need for a dedicated risk assessment tool tailored specifically for OCs.

This tool is essential to systematically identify, evaluate, and mitigate potential risks associated with OCs, thereby preempting operational disruptions and enhancing overall safety standards within railway signaling networks. As for the specific objectives of the risk assessment tool, its primary aim is to comprehensively identify and analyze risks inherent in OC operations,

encompassing both hardware and software components. By leveraging advanced methodologies such as FMEA and Fault Tree Analysis (FTA), the tool seeks to proactively anticipate potential failure scenarios and their corresponding impacts. Additionally, the tool aims to streamline risk mitigation strategies, enabling railway operators to implement targeted interventions to minimize risks and uphold safety standards. Through rigorous adherence to safety standards such as EN50129 and EN50126, the tool ensures compliance with regulatory requirements and certification criteria for OCs, thus bolstering confidence in the reliability and safety of railway signaling systems. Furthermore, the tool incorporates innovative features such as real-time data integration and predictive analytics, offering enhanced capabilities compared to traditional risk assessment approaches. The OC is responsible for overseeing and monitoring its associated wayside elements and

transmitting relevant data to the interlocking system to establish appropriate train routes. The failure of any track circuit OC or its associated field elements can result in substantial disruptions to train movement routes and pose critical safety hazards. To mitigate such risks, the implementation of a wellstructured design and development methodology is imperative. Given that most of a system's design and development life cycle costs are tied to decisions made during the design phase, it becomes imperative to prioritize the safe and standardized design, development, and reliability analysis of OCs throughout these critical processes.

In this research, we introduce a developed test platform designed for the evaluation of railway signaling Object Controller boards. Specifically, we focus on testing a typical track circuit OC board. This platform offers capabilities such as system architecture extraction, risk assessment using the FMEA method, and equipment reliability calculations. In

accordance with the EN50126 standard, critical components within safety- critical systems are designed in duplicate forms for increased efficiency and calculation accuracy, as outlined in Table 1.





The assessment process begins by evaluating these redundant

blocks and abstracting the system's structure. Subsequently, based on the nature of the subsystems' structure, whether they are in series, parallel, or a combination of both, the platform calculates the overall system reliability level. To assess its performance accuracy, the process is conducted on a standard track circuit OC board. This OC board comprises critical sub-sections that demand adherence to safety standards, given their safety-critical significance. These subsections encompass key components such as the processing unit, linear/switching power supplies, data transfer module, connectors, self- test, and object monitoring unit, among others.

#### **II. Reliability Analysis**

Reliability, denoted as 'R,' is a critical product attribute defined as the probability that a product or system can perform its required task under specified conditions for a defined duration without failure [2]. Enhancing the reliability of railway signaling systems is of paramount importance to

preventpotential breakdowns and mitigate the risk of collisions or accidents leading to passenger injuries during system operation [3]. Risk assessment in the design of control command and signaling devices (CCS) is one of the elements required by law [4]. A systematic and comprehensive risk assessment is essential for the success of railway construction projects. Even though numerous studies have been conducted on railway construction project risk assessment, few attempts have been made to evaluate the overall dynamics, inter relationships, uncertainty, and impact of risks on project objectives [5]. Risk management stands as an integral component of project success, serving as a process for early problem identification and the implementation of necessary measures to preempt the transformation of potential issues into critical project challenges. The evaluation of risks necessitates an assessment based on both their likelihood of

occurrence and analysis, and fault tree evaluation.

In this research endeavor, we have developed a software tool designed to assess and calculate the reliability level during the design phase of high-level systems. This tool streamlines the sensitive process integral to the development and testing life cycle of safety-critical systems. FMEA stands as the most effective and widely employed technique for identifying, assessing, and preventing potential hazards across diverse fields. Numerous risk analysis models, rooted in FMEA, have been utilized to identify, evaluate, and prioritize risks, thereby enhancing the reliability of complex systems [6]. This analysis represents a systematic approach aimed at identifying and preempting issues within both the product and its associated processes.

The primary focus of this method is to proactively prevent defects, enhance safety, and elevate customer satisfaction. Additionally, it aids organizations in anticipating problems in both product and

process, addressing their underlying causes proactively before they manifest. Hence, employing the FMEA method for risk assessment offers the capability to pinpoint diverse factors with the potential to trigger adverse conditions and operational accidents. FMEA was initially developed by the US military in the 1940s and gained widespread adoption in the mid-1960s when it was embraced by the National Aeronautics and Space Administration (NASA) for use in manned space missions [7].

In today's context, complex equipment and systems are required not only to operate flawlessly at time  $t = 0$  (start-up) moment) but also to maintain their intended function without failure for a specified duration, even when critical faults occur [8]. Enhancing system reliability requires early identification of potential risks and hazards during the design phase. In [9], multiple modeling techniques are employed to compute the reliability of trackside equipment within the signaling system, encompassing

components like point machines, track circuits, and signals, while also considering the overall system failure rate. Paper [10] introduces a modified FMEA methodology to comply with EU regulations for risk assessment in railway transport, specifically focusing on safety at railway crossings due to issues in Slovakia. By investigating accident causes and proposing a new methodology framework, the paper aims to assist railway infrastructure managers in identifying and mitigating risks, ultimately improving safety and service quality in rail transport.

In this research, an application plan is formulated. It entails the identification and analysis of errors, encompassing causes, effects, mechanisms, and states, utilizing the FMEA method. Additionally, the research offers an automated solution designed to decrease life cycle costs while simultaneously enhancing safety and reliability. The tool is coded in C# and defines 14 primary hardware blocks for the highlevel electronic board design. By identifying the necessary blocks for board design, establishing

their reliability levels, and determining the extent of redundancy, the FMEA table is generated, considering subsystem features like redundancy values. This allows the designer to achieve the desired design by making revisions if necessary. The data presented in Table 2 conforms to the EN50129 standard, which mandates a comprehensive investigation of designated risks; proportion of our results is displayed within Table 2.

Safety standards, including MIL-HDBK-217F, have been formulated on established principles to guide designers. The method presented in this paper offers the capability for automated reliability assessment at both subsystem and systemwide levels. To compute the failure rate and reliability of the system and its subsystems, the Reliability Block Diagram (RBD) method has been employed. In accordance with the stipulations of EN50128 and EN50129 standards, for the formal implementation of the system verification process, the high-level design furnished by

the designer is translated into an integrated modeling language (PLANT UML) using the tool developed. Consequently, by creating a block diagram mapping of subsystems and depicting their connections and dependencies using an integrated modeling language, the reliability of both the system and its subsystems has been computed. This calculation considers the architecture and series/parallel structure of the blocks, as well as the Mean Time Between Failures (MTBF) values, all contributing to the enhancement of system safety.

Figure 2 illustrates the characteristics of each block and their interrelationships in the form of a class diagram. The test platform leveraged this information to evaluate risks and compute the reliability of individual subsystems as well as the entire system. Any complex system can undergo evaluation by abstracting its hardware architecture design and calculating the reliability of each block, along with its connections to other blocks. The configuration of these blocks and their reliability calculation method align with one of the models depicted in Figure 3.



Figure 2: Class diagram of the Track Circuit Board (TC board)

# **III. Risk Assessment Analysis**

Safety covers preventing injuries and reducing hazards during system operation. It includes two aspects which are safety during normal operation and safety during failures. The first focuses on accident prevention under regulations. The second deals with safety during error and involves a five steps process.

- i. risk identification
- ii. cause identification
- iii. effects determination
- iv. classification
- v. risk prevention/mitigation

Early train safety used mechanical interlocking systems, now replaced by electronic ones. These require both safety and reliability. Safety assures components remain safe during failures (fail-safe). Reliability aims to minimize failures. Research emphasizes risk assessment across design, development, and maintenance. Techniques like FTA and Event Tree Analysis (ETA) identify hazards and potential loss of life.

A methodological approach enhances railway system

reliability, assessing human errors and control system failures using Failure Modes, Effects, and Criticality Analysis (FMECA) and Human Reliability Analysis (HRA). A FMEA model prioritizes risks in railway systems, considering severity, occurrence, and detectability. Integrated tools for risk assessment, modeling, reliability calculation, and system design are lacking in

railway standards compliance. This research introduces a tool for risk assessment and compliance. It identifies vulnerabilities by generating an FMEA table based on EN50129 standards. The tool calculates subsystem and system reliability using parameter values and equations. Complex structures are simplified for reliability calculations.



Figure 3: Reliability evaluation of simple systems



 $mndvbdwk$ Table 2: FMEA evaluation of the power supply block  $\alpha$ f the  $\cdot$ Ŕ  $Table 2: ENFA$ 





For example, row 5 in Figure 3 demonstrates methods (i) to (iii) and this simplifies the reliability calculation as shown in Figure 4.

- i. Series blocks B1-B3 are replaced by B8.
- ii. B4-B5 are replaced by B9.
- iii. B6-B7 are replaced by B10.



Figure 4: Example of simplified reliability block diagram

$$
R_{10}(t) = R_6(t)R_7(t)
$$
 (1)  
\n
$$
R_{11}(t) = [R_8(t) + R_9(t)] -
$$
  
\n
$$
[R_8(t)R_9(t)]
$$
 (2)

where,  $R_8(t) = R_1(t)R_2(t)R_3(t)$ and  $R_9(t) = R_4(t)R_5(t)$ 

From Equation (1) and (2), the system reliability is shown as Equation  $(3)$  and  $(4)$ .

$$
R_s = R_{s0}(t), R_i = R_i(t), R_i(0) =
$$
  
1, i = 1, ...7 (3)  

$$
R_s = R_{11}R_{10} = [(R_1R_2R_3) +
$$

$$
\left( R_{4}R_{5}\right) ]-
$$

 $[(R_1R_2R_3R_4R_5)][R_6R_7]$  (4)

The mean time to failure can be calculated from Equation (5) and (6). All elements should have a

constant failure rate  $(λ1$  to  $λ7)$ , therefore,

$$
R_{S0}(t) = e^{-(\lambda_1 + \lambda_2 + \lambda_3 + \lambda_6 + \lambda_7)t} +
$$
  
\n
$$
e^{-(\lambda_4 + \lambda_5 + \lambda_6 + \lambda_7)t} +
$$
  
\n
$$
e^{-(\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4 + \lambda_5 + \lambda_6 + \lambda_7)t}
$$
 (5)  
\n
$$
MTBF_{S0} = \frac{1}{\lambda_1 + \lambda_2 + \lambda_3 + \lambda_6 + \lambda_7} +
$$
  
\n
$$
\frac{1}{\lambda_4 + \lambda_5 + \lambda_6 + \lambda_7} - \frac{1}{\lambda_1 + \lambda_2 + \lambda_3 + \lambda_4 + \lambda_5 + \lambda_6 + \lambda_7}
$$
 (6)

To forecast the reliability of each electrical / electronic element, the standard 'MIL-HDBK-217F' offers a standardized foundation, relying on the analysis of the most upto-date data available at the time of publication. According to this standard, the failure rates of resistors and capacitors are calculated as Equation (7).

 $\lambda_n = \lambda_b \pi_{cv} \pi_0 \pi_E F \alpha$ ilure/ 10<sup>6</sup>*Hour* (7)

where,  $\lambda_{\rm b}$  is based failure rate,  $\pi_E$  is environment factor,  $\pi_O$  is quality factor.

In calculating the board failure rate, the failure rate of passive elements (ceramic resistors and capacitors) due to their small values can be neglected.

The equation of Relays failure rate is shown in Equation (8).

 $\Lambda_{\rm p} =$  $\lambda_{b} \pi_{L} \pi_{c} \pi_{cyc} \pi_{F} \pi_{0} \pi_{E} Failure/$  $10^6$  Hour (8) where,  $\pi_{\text{L}}$ ,  $\pi_{\text{cyc}}$ ,  $\pi_{\text{c}}$ ,  $\pi_{\text{F}}$  are load stress, cycling, contact, and application and construction factors respectively. Referring to a typical relay's datasheet, its failure rate is shown in Equation (9).  $\lambda_p = 0.1 * 10^{-6} / \text{OPERATION (9)}$ Hence, its reliability value is,  $R = e^{-\lambda t} = e^{-(0.1 \times 8760) \times 10^{-6}}$ 0.9991 (10) The failure rate of active components like Bipolar operational amplifiers equation is shown in Equation (11).  $\Lambda_{\rm p} =$  $[\sum N_c \lambda_c][10.2\pi_E]\pi_F\pi_0\pi_L$  Failure/  $10^6$ *Hour* (11) where,  $\lambda_c$  is failure rate of each component,  $N_c$  is number of

each component,  $\pi_F$  is circuit function factor,  $\pi$ <sub>L</sub> is learning factor.

In this research, LM321 is used as the Op-Amp elements which its failure rate is given by Equation (12).  $\lambda_p = C_1 \pi_T \pi_Q \pi_L F \alpha$ *lure*  $10^6$ *Hour* (12) Hence, the value of reliability per one-year is  $R = e^{-\lambda t} = e^{-(0.31 \times 8760) \times 10^{-6}}$ 0.9973 (13) For the other elements, the

failure rate and reliability quantities are summarized in Table 3. After calculating the reliability of each block, the tool calculates the series-parallel blocks reliability according to Figure 3.

I able 5. Failure rate and remability for other elements		
Element	Failure rate	Reliability
Gates	$\lambda_{\rm p}$	$R = e^{-\lambda t}$
	$= (C_1 \pi_T)$	$= e^{-(0.0477 \times 8760) \times 10^{-6}}$
	+ $C_2 \pi_E$ ) $\pi_0 \pi_L$ Failure /10 <sup>6</sup> Hours	$= 0.99958$
	$= 0.0477$ Failure /10 <sup>6</sup> Hours	
<b>MOSFET</b>	$\lambda_p = 0.00533$ Failure /10 <sup>6</sup> Hours	$R = e^{-\lambda t}$
		$= e^{-(0.00533 \times 8760) \times 10^{-6}}$
		$= 0.999953$

Table 3: Failure rate and reliability for other elements

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Q represents the probability of failure in reliability analysis. The relationship between  $Q$  and R can be expressed as  $Q = 1 R$ . For parallel blocks,  $Q$  can be expressed as Equation (14).

$$
Q_p = \prod_{i=1}^n Q_i \tag{14}
$$

For simplifying the calculation of reliability in parallel blocks, Q can be employed as a convenient metric. Next, the reliability for each block as

depicted as  $(a)$ ,  $(b)$ ,  $(c)$  and  $(d)$  in Figure 2 are calculated.

For (a);  
\nR<sub>p</sub> = 1 - 
$$
\prod_{i=1}^{n} Q_i
$$
,  
\nR<sub>s2</sub> = 1 - (Q<sub>11</sub> × Q<sub>12</sub>) = 1 -  
\n(0.00066 × 0.00066) =  
\n0.9999996 (15)  
\nFor (b);  
\nR<sub>ps</sub> = (R<sub>p2</sub> × R<sub>p3</sub> × R<sub>p4</sub> × R<sub>p5</sub> ×  
\nR<sub>p6</sub> × R<sub>p7</sub> × R<sub>p8</sub> × R<sub>p9</sub> × R<sub>p10</sub> ×  
\nR<sub>p11</sub> × R<sub>p12</sub> = 0.98856  
\nQ = 1 - 0.98856 = 0.01144

 $R_{s2} = 1 - (Q_{11} \times Q_{12}) = 1 (0.01144 \times 0.01144) = 0.99987$  (16) For (c);  $R_{\text{ps}} = (R_{\text{p13}} \times R_{\text{p14}}) = 0.9993$  (17) For (d);  $R_{\text{ps}} = (R_{\text{p15}} \times R_{\text{p16}} \times R_{\text{p17}}) =$ 0.986  $Q = 1 - 0.986 = 0.014$  $R_{p2} = 1 - (Q_{21} \times Q_{22}) = 1 (0.014 \times 0.014) = 0.9998$  (18) By calculating subsystem's reliability, the value of total systems reliability is shown in Equation (19).  $R_{t1} = R_{s1} \times R_{s2} \times R_{s3} \times R_{s4} =$  $0.9999996 \times 0.99987 \times$  $0.9993 \times 0.9998 = 0.99897(19)$ 

## **IV. Conclusion**

Object controllers entrusted with the critical tasks of controlling and monitoring trackside equipment, hold a pivotal role in ensuring safety and reliability within railway systems. As such, their design and development processes demand strict adherence to safety standards. This paper has embarked on the mission to streamline these processes by delving into the architecture of OC boards and the analytical methods governing reliability.

The result of this endeavor is a sophisticated software platform tool, meticulously crafted to align with safety and functional standards. During the design phase of this test platform, precise parameters related to reliability and redundancy, for each hardware block, along with their interconnections, are defined. Furthermore, the tool seamlessly generates an integrated modeling language representation of the control board plan, employing PLANT UML, while concurrently applying the FMEA method for risk assessment.

This comprehensive approach culminates in the calculation of the system's reliability, ultimately yielding results that align with safety and reliability standards. This work underscores the instrumental role of FMEA analysis in not only identifying potential hazards within both the product and its associated processes but also in proactively mitigating risks and bolstering safety measures. Consequently, it

paves the way for achieving the desired levels of reliability at the device level, marking a significant stride towards enhanced safety and reliability in railway signaling systems.

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